

**Pak China Investment Company Limited**

Updated as of August 15, 2022

General Information Section

Registered Address	Saudi Pak Tower, 13 th Floor, 61-A Jinnah Avenue, Islamabad-44000, Pakistan
Head Office Address	Saudi Pak Tower, 13 th Floor, 61-A Jinnah Avenue, Islamabad-44000, Pakistan
Web Address	www.pakchinainvest.com
Date & Place of Incorporation / Establishment	Islamabad, Pakistan, 2007
Registration Number Date & Place of Incorporation	Securities and Exchange Commission of Pakistan Registration No. 6828/20070701 in July, 2007.
Operating License Number, Type & Name of Issuer and Date	BPRD(LGD-02)/260/2008 by State Bank of Pakistan as Development Financial Institution.
Name of Regulator	State Bank of Pakistan
Name and Address of External Auditors	Ernst & Young Ford Rhodes Chartered Accountants
Legal Form	Unlisted Public Limited Company
Name of Managing Director / CEO	Mr. Jiang Ketao (Acting)
Name of Chief Compliance Officer	Mr. Khurram Shahzad
AML/CFT/KYC Policy Issuance Date	Issued on 25 August, 2009/Last updated in Feb, 2021.

Ownership Structure

PCICL is an Autonomous body, with following ownership structure

Name of Shareholder	Ownership Interest (%)
Government of Pakistan through Ministry of Finance	50%
Peoples Republic of China through China Development Bank	50%

Board Members	
Name	Designation
Mr. Wang Baojun	Chairman
Mr. Jiang Ketao	Managing Director (A)
Ms. Wang Li	Director

Sr. No.	Section A: General AML Policies, Practices and Procedures	Yes	No
1	Are we regulated by any Regulatory Authority?	Yes, State Bank of Pakistan	
2	Do we follow the AML regulations of our country?	Yes, SBP's AML/CFT Regulations	
3	Do we have regulatory compliance program that includes a designated Compliance officer(s) that is responsible for coordinating and overseeing the AML/CFT Framework?	Yes	
4	Have we established a written AML policy?	Yes	
5	Do we have policies covering the following;		
	a) Prohibition of accounts relationships with shell banks and/or companies.	Yes	
	b) Identification of High / Increased risk customers.	Yes	
	c) Relationships with Politically Exposed Persons (PEPs), their family and close associates.	Yes	
	d) Processes in place to prevent, detect and report suspicious transactions.	Yes	
	e) Prohibition of opening of anonymous accounts.	Yes	
6	Do we have an internal function or equivalent independent third party function that assess AML policies on regular basis?	Yes	
7	Do we have record retention procedures that comply with applicable law?	Yes	
8	Do we have a requirement to collect information regarding its customers' business activities and source of funds?	Yes	
9	Do our institution include originator information on outgoing wire transfers?	Yes	
10	Do we require identification of all individuals or entities at the time of the establishment of the relationship?	Yes	
11	Do we have reasonable measures to verify the identification information of all counterparties?	Yes	
12	Do we have a name-screening system in place to identify potential hit against watch lists such as OFAC, EU, UN, UKHMT etc?	Yes	

Name of Group Head Compliance & HR: Khurram Shahzad

Signature

